

Electronic Acknowledgement Receipt

EFS ID:	4499772
Application Number:	09617853
International Application Number:	
Confirmation Number:	8319
Title of Invention:	System for trading fixed income financial instruments: U.S. treasury securities, liquid agencies and zero coupon strips
First Named Inventor/Applicant Name:	Thomas C. Naratil
Customer Number:	21890
Filer:	Seth M. Cannon
Filer Authorized By:	
Attorney Docket Number:	74622-011
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Application Type:	Utility under 35 USC 111(a)

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Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 180
RAM confirmation Number	9971
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Authorized User	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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1		74622_011_ID5.PDF	238649 b252/bd9a4f9c273d37199e67680d629e e67b	yes	5
Multipart Description/PDF files in .zip description					
		Document Description	Start	End	
		Miscellaneous Incoming Letter	1	1	
		Information Disclosure Statement Letter	2	5	
Warnings:					
Information:					
2	Fee Worksheet (PTO-06)	fee-info.pdf	30294 988c2f61131d3c65a99a198b732840238f 735	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			268943		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					